

Process for investment in Pool 2

In this document actions on the part of the Mission Partnership, unless otherwise specified, will be conducted by the Partnership Director, drawing on resources at her disposal and seeking approval by the respective Board of Directors as and where required.

a. Call text and application format

As the Mission Partnerships are governed using the Grand Solutions rules and guidelines, these also apply to Pool 2 unless otherwise specified.

The application format will be identical across the 4 Mission Partnerships with a set of Mission specific aspects that can be found below. Due to the high diversity within the four Mission Partnerships, specific guidelines, guidance text, assessment criteria, roadmaps, strategies, etc. is provided for each Mission. Please note that the Mission specific aspects take precedence over the generic rules and texts covering all four Mission Partnerships.

The call text and application form will invite applicants to demonstrate that:

1. The applicant team is excellent in terms of knowledge and experience in relation to the proposed work and has the required competences and capacity
2. The proposed work is innovative and breaks new ground
3. The expected outcome will have significant impact in areas relevant to the mission
4. The proposal is efficient, that is, the expected achievements are commensurate with the requested resources
5. The proposal is effective, that is, there is reasonable confidence that the set objectives will be met.

Announcement of the calls will be approved by and coordinated with Innovation Fund Denmark, who will also make the calls available through their normal channels.

Applications must be created, completed and submitted via the electronic application system Filkassen.

b. Assessment criteria

The assessment criteria are divided into two subsets.

1. EUREKA:

One set will be used for the evaluation undertaken by the international EUREKA experts. Please refer to the normal grand solutions guidelines section 3.3 ([that can be found here](#)) for details. These cover the areas quality of the idea, impact, and quality of execution (covering sections 1, 2 and 3 of the application template).

2. Strategic fit to the Mission Partnership:

Section 4 of the application template encourages applicants to describe the strategic fit between the respective project and the Mission Partnership, including how the project is aligned with and contributes to the goals of the respective Mission. Alignment with Mission goals will be assessed by individuals or groups of individuals appointed by each Mission Partnership.

Both the Mission specific assessment criteria and the individuals or groups undertaking the assessment are listed in the *Mission specific aspects* described in the Mission Specific Aspects below.

c. Evaluation and consultation

EUREKA: Proposals are assessed by EUREKA, an external panel of international experts selected by Innovation Fund Denmark, who give motivated scorings for evaluation criteria 1 (*Quality of Idea*), 2 (*Impact*) and 3 (*Quality of Execution*).

Applicants will receive the EUREKA evaluation via Filkassen submission system.

Applicants will be invited to reply to the EUREKA assessments and submit their response via Filkassen.

Innovation Fund Denmark will provide the mission secretariats with digital copies of the submitted proposals, along with the necessary metadata (external evaluations, project lists, tables, etc.).

Mission Partnerships: An internal body (group or individual) will assess the proposals in relation to criteria 4, (*Strategic fit to the Mission Partnership*). This will be either the Mission Director, members of the Board of Directors or designated professionals, or a combination of those. These persons cannot evaluate projects where there is a conflict of interest. Should a situation arise where it is not possible to find one or more people capable of evaluating the proposal, due to conflicts of interest, the Board of Directors will decide the course of action.

d. Mission Partnership Shortlisting and Decision

Mission Partnerships will assess the EUREKA scorings, taking outliers in scorings as well as applicant's responses into account. Proposals with low scores or outliers in the EUREKA evaluation, to which the applicant has made reasonable objections, may be included in the shortlist for full consideration by the Mission Partnership.

Members of the Board of Directors are likely, through their places of employment or association, to have conflicts of interest in relation to individual proposals. Members must declare in which proposals they have conflict of interest. Board members will not take part in deliberations or decisions in relation to proposals for which they have conflict of interests but will be provided the abstracts and EUREKA evaluations for deciding on the final project portfolio. The full Board of Directors will partake in the final discussions and deliberations about creating and submitting the full Pool 2 project portfolio to Innovation Fund Denmark for approval.

To ensure full transparency in the selection/prioritization of projects, Innovation Fund Denmark will oversee the process and act as an observer at the Board meetings where selection/prioritization takes place. Innovation Fund Denmark will ensure that the proceedings are conducted in a proper and orderly manner. It is up to each Mission's Board of Directors to qualify the selection/prioritization of projects. The draft motivations are augmented by the deliberations and considerations of the Board of Directors, who will write the motivation for the final decision regarding accepting or rejecting each proposal. Innovation fund Denmark will oversee the process described in this document, and upon selection ensure that the rules specified by the GEBR are adhered to. Innovation Fund Denmark Board of Directors will make the final formal approval of pool 2 investment decision.

Commented [KS1]: "Board", "BoD", board, Board of Directors skal ensrettes. Jeg foreslår "BoD", som forklares ved første brug

e. Call announcement

Innovation Fund Denmark will set up the call in Filkassen. The deadline is agreed between Innovation Fund Denmark and the respective Mission Partnerships. The date for Filkassen opening must be agreed prior to the call being announced.

The call is announced on the websites of the Mission Partnerships and the Innovation Fund Denmark website.

The Mission Partnerships have agreed to share each other's calls through their respective media channels – LinkedIn, websites, and other means of communication.

f. Administrative support during the application phase

Any questions regarding the call documents prepared by the Mission Partnerships will be answered by the respective Mission Partnership Secretariat. The Mission Partnership Secretariat is not allowed to advise on the specific content of an application, and neither can the Board of Directors. Contact details for the Mission Partnership Secretariats can be found here:

Any questions regarding Filkassen will be handled by Innovation Fund Denmark.

g. Fitting project budgets to available investment budget

The respective Mission's Board of Directors will seek to fund projects from the top of their lists of prioritized projects. The Mission Board recommends a project portfolio for investment. Final approval is dependent on each project's acceptance of the Mission Partnership investment agreement, and an agreement about project execution, including but not limited to governance, timeline and budget.

Should a project default during negotiations or prior to signature of the investment agreement, it is at the discretion of the Board of Directors to decide if the next project on the list will be selected for funding.

h. Rejections

Letters of rejection will be sent to applicants who are not selected for funding. The letter will refer to the evaluation criteria and be sent to the applicant via the Filkassen system.

i. Complaints

Any complaints regarding the submission or evaluation process must be directed to Innovation Fund Denmark.

Kathrine Hauge Madsen: Kathrine.Hauge.Madsen@innofond.dk

Martin Søndergaard: martin.sondergaard@innofond.dk

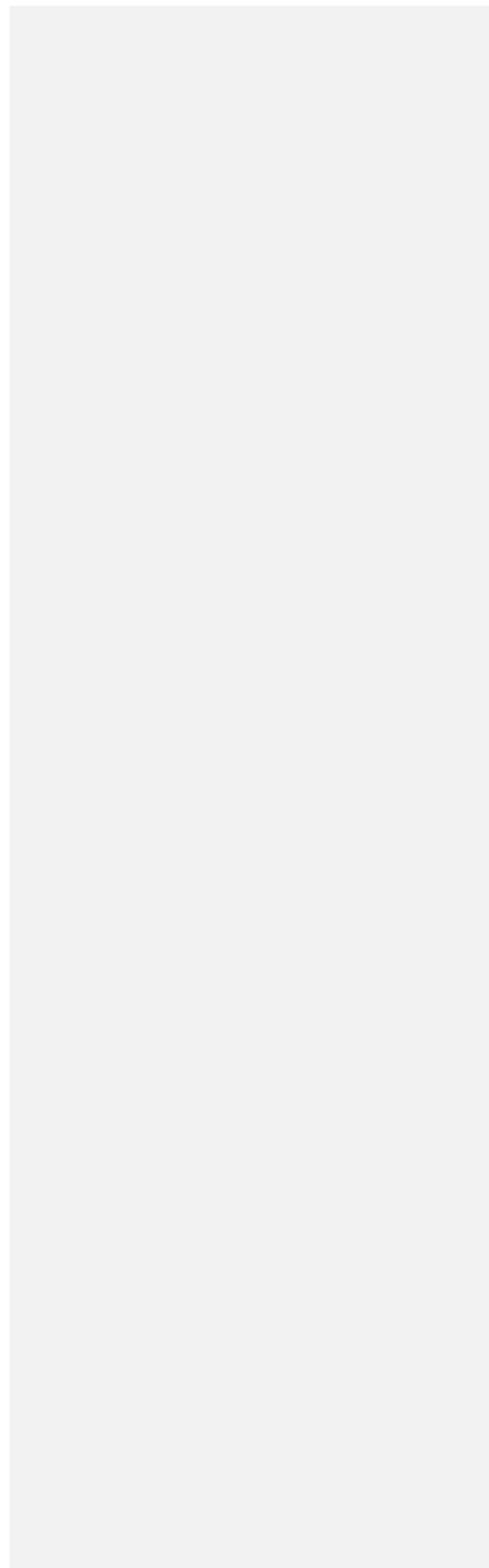
Connie Benfeldt: connie.benfeldt@innofond.dk

Jakob Dahl Wedel: jakob.wedel@innofond.dk

Innovation Fund Denmark will consult the respective Mission Directors and/or Board of Directors, should input be required to respond to a complaint. Input from the Mission Partnerships will be made by the Mission Director in collaboration with two non-conflicted members of the Board of Directors/Advisory

Group. Only complaints made over formal or procedural errors related to the evaluation will be assessed and processed.

The complaint must be received by the Innovation Fund at the latest 2 weeks after receipt of the decision (acceptance or rejection of funding) from the Mission Partnership.



TraCE specific criteria (Innomission 4)

Relevance to the TraCE Partnership's objectives (as described in the revised roadmap, the roadmap and the partnership plan)

Assessed on the basis of:

- a. That the project significantly contributes to the realization of the TraCE Partnership's goals.
- b. That the project is complementary to already initiated or projects for the realization of the CE-PT Partnership's goals (two different solutions to the same problem are considered complementary here).
- c. That the project demonstrates synergy with other projects that support the TraCE Partnership's goals-
- d. That the project strengthens the partnership, e.g. through the building of methods or knowledge that can be used and scaled.
- e. That the project meets the (A)mandatory focus in the Call text by giving examples of how one or more of the project participants has worked with systemic leadership and how the project participants intend to work with the systemic approach in the project. Furthermore, the projects are encouraged to meet one or more of the themes (B) mentioned in the Call text
- f. That it is clear that the idea is innovative and goes beyond state-of-the-art in an academic and industrial field at an international level.
- g. That the competitive situation of the idea is made clear– both with regard to the academic and industrial elements. The disruptive potential of the idea must be clearly stated.
- h. Account (as far as possible) of the project's quantified contribution to the reduction in greenhouse gas emissions measured in CO2 equivalents – both in Denmark and globally.
- i. Statement (as far as possible) of the project's contribution to reducing negative environmental impacts – both in Denmark and globally.
- j. Explanation (as far as possible) of the project's contribution to reduced consumption of limited or non-renewable resources, including clean water – both in Denmark and globally.
- k. Statement of the project's contribution to improved working environment – both in Denmark and globally to the extent relevant.
- l. That it is clear what strategic relevance the project has in relation to the Danish roadmap in the area.
- m. That relevant end users and core stakeholders either help shape the project, participate in the project, or are otherwise directly involved in the project, e.g., through investment. To the extent relevant and in collaboration with end users and core stakeholders, a plan for the project's implementation must be drawn up – including a process plan for this.
- n. The proposal is efficient, that is, the expected achievements are commensurate with the requested resources
- o. The proposal is effective, that is, there is reasonable confidence that the set objectives will be met

Decision

The Partnership Director, employed by the Partnership Association which is not a beneficiary of the funding under consideration, has neither personally nor through her place of employment, any conflicts of interest in relation to the selection of projects for funding. The Partnership Director will play a central role in drawing up the basis for the selection of projects for funding. This includes drafting of the motivations for selection or rejection of proposals that the Partnership will share with IFD and the applicants.

Workstream Leaders will generally have conflicts of interest, both personally and through interest of their places of employment. They are therefore not having any role that can be considered to have any influence on the selection of projects for funding.

Members of the Partnership Board are likely, through the interests of their places of employment or association, to have conflicts of interest in relation to individual proposals. Members must declare which proposals they have conflicts of interests in relation to.

Members will not in any way take part in deliberations or decisions in relation to proposals for which they have conflicts of interest. If there are less than 10 proposals for consideration by the Board, a member with a conflict in relation to one or more proposals will have to be excused for the entire selection process.

Based on draft motivations recommended by the Partnership Director for funding or rejection of funding, for each proposal as well as the available budget, the Partnership Board comes to a reasoned decisions regarding funding or rejection of funding for each proposal. The draft motivations are augmented by the deliberations and considerations in the Board to form the final written reasons for decisions regarding funding or rejection of funding for each proposal.

If there are less than 10 proposals for consideration by the board, it is conceivable that the Partnership Board cannot muster a quorate number of non-conflicted members. In that case the Partnership Director in collaboration with two non-conflicted members of the Advisory Group will make the final decision regarding funding or rejection of proposal.